

FORMALITIES LETTER



OC00000005181551

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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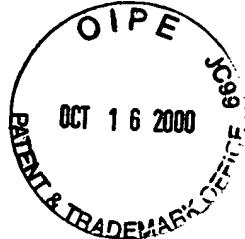
09/527,915

03/17/2000

Mark Scott

1848.0060001

Sterne Kessler Goldstein & Fox PLLC
1100 New York Avenue NW
Suite 600
Washington, DC 20005



Date Mailed: 06/16/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/17/2000 HN00R1 0000043 09527915

01 FC:116

390.00 0P

10/17/2000 HN00R1 0000043 09527915

02 FC:105

130.00 0P

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 520.00)

O I P E
OCT 16 2000
U.S. PATENT & TRADEMARK OFFICE
68

Complete if Known	
Application Number	09/527,915
Filing Date	March 17, 2000
First Named Inventor	Scott et al.
Examiner Name	To Be Assigned
Group Art Unit	To Be Assigned
Attorney Docket No.	1848.0060001

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																																											
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:</p> <p>Deposit Account Number 19-0036</p> <p>Deposit Account Name Sterne, Kessler, Goldstein & Fox P.L.L.C.</p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td></td><td></td><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td></tr> <tr><td></td><td></td><td>127</td><td>50</td><td>227</td><td>25 Surcharge - late provisional filing fee or cover sheet</td></tr> <tr><td></td><td></td><td>139</td><td>130</td><td>139</td><td>130 Non-English specification</td></tr> <tr><td></td><td></td><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for ex parte reexamination</td></tr> <tr><td></td><td></td><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td></tr> <tr><td></td><td></td><td>113</td><td>1,840*</td><td>113</td><td>1,840* Requesting publication of SIR after Examiner action</td></tr> <tr><td></td><td></td><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td></tr> <tr><td></td><td></td><td>116</td><td>390</td><td>216</td><td>195 Extension for reply within second month</td></tr> <tr><td></td><td></td><td>117</td><td>890</td><td>217</td><td>445 Extension for reply within third month</td></tr> <tr><td></td><td></td><td>118</td><td>1,390</td><td>218</td><td>695 Extension for reply within fourth month</td></tr> <tr><td></td><td></td><td>128</td><td>1,890</td><td>228</td><td>945 Extension for reply within fifth month</td></tr> <tr><td></td><td></td><td>119</td><td>310</td><td>219</td><td>155 Notice of Appeal</td></tr> <tr><td></td><td></td><td>120</td><td>310</td><td>220</td><td>155 Filing a brief in support of an appeal</td></tr> <tr><td></td><td></td><td>121</td><td>270</td><td>221</td><td>135 Request for oral hearing</td></tr> <tr><td></td><td></td><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td></tr> <tr><td></td><td></td><td>140</td><td>110</td><td>240</td><td>55 Petition to revive - unavoidable</td></tr> <tr><td></td><td></td><td>141</td><td>1,240</td><td>241</td><td>620 Petition to revive - unintentional</td></tr> <tr><td></td><td></td><td>142</td><td>1,240</td><td>242</td><td>620 Utility issue fee (or reissue)</td></tr> <tr><td></td><td></td><td>143</td><td>440</td><td>243</td><td>220 Design issue fee</td></tr> <tr><td></td><td></td><td>144</td><td>600</td><td>244</td><td>300 Plant issue fee</td></tr> <tr><td></td><td></td><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td></tr> <tr><td></td><td></td><td>123</td><td>50</td><td>123</td><td>50 Petitions related to provisional applications</td></tr> <tr><td></td><td></td><td>126</td><td>240</td><td>126</td><td>240 Submission of Information Disclosure Stmt</td></tr> <tr><td></td><td></td><td>581</td><td>40</td><td>481</td><td>40 Recording each patent assignment per property (times number of properties)</td></tr> <tr><td></td><td></td><td>146</td><td>710</td><td>246</td><td>355 Filing a submission after final rejection (37 CFR 1.129(a))</td></tr> <tr><td></td><td></td><td>149</td><td>710</td><td>249</td><td>355 For each additional invention to be examined (37 CFR 1.129(b))</td></tr> <tr><td></td><td></td><td>179</td><td>710</td><td>279</td><td>355 Request for Continued Examination (RCE)</td></tr> <tr><td></td><td></td><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td></tr> <tr><td colspan="6">Other fee (specify):</td></tr> <tr><td colspan="6">Other fee (specify):</td></tr> <tr><td colspan="6">*Reduced by Basic Filing Fee Paid</td></tr> <tr> <td colspan="2"></td> <td colspan="5">SUBTOTAL (3) (\$ 520.00)</td> </tr> </tbody> </table>					Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee paid			105	130	205	65 Surcharge - late filing fee or oath			127	50	227	25 Surcharge - late provisional filing fee or cover sheet			139	130	139	130 Non-English specification			147	2,520	147	2,520 For filing a request for ex parte reexamination			112	920*	112	920* Requesting publication of SIR prior to Examiner action			113	1,840*	113	1,840* Requesting publication of SIR after Examiner action			115	110	215	55 Extension for reply within first month			116	390	216	195 Extension for reply within second month			117	890	217	445 Extension for reply within third month			118	1,390	218	695 Extension for reply within fourth month			128	1,890	228	945 Extension for reply within fifth month			119	310	219	155 Notice of Appeal			120	310	220	155 Filing a brief in support of an appeal			121	270	221	135 Request for oral hearing			138	1,510	138	1,510 Petition to institute a public use proceeding			140	110	240	55 Petition to revive - unavoidable			141	1,240	241	620 Petition to revive - unintentional			142	1,240	242	620 Utility issue fee (or reissue)			143	440	243	220 Design issue fee			144	600	244	300 Plant issue fee			122	130	122	130 Petitions to the Commissioner			123	50	123	50 Petitions related to provisional applications			126	240	126	240 Submission of Information Disclosure Stmt			581	40	481	40 Recording each patent assignment per property (times number of properties)			146	710	246	355 Filing a submission after final rejection (37 CFR 1.129(a))			149	710	249	355 For each additional invention to be examined (37 CFR 1.129(b))			179	710	279	355 Request for Continued Examination (RCE)			169	900	169	900 Request for expedited examination of a design application	Other fee (specify):						Other fee (specify):						*Reduced by Basic Filing Fee Paid								SUBTOTAL (3) (\$ 520.00)				
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<p>2. <input checked="" type="checkbox"/> Payment Enclosed: Check No. 29157</p> <p><input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other*</p> <p>*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.</p>		<p>FEE CALCULATION</p> <p>1. BASIC FILING FEE</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101</td><td>710</td><td>201</td><td>355</td><td>Utility filing fee</td><td></td></tr> <tr><td>106</td><td>320</td><td>206</td><td>160</td><td>Design filing fee</td><td></td></tr> <tr><td>107</td><td>490</td><td>207</td><td>245</td><td>Plant filing fee</td><td></td></tr> <tr><td>108</td><td>710</td><td>208</td><td>355</td><td>Reissue filing fee</td><td></td></tr> <tr><td>114</td><td>150</td><td>214</td><td>75</td><td>Provisional filing fee</td><td></td></tr> </tbody> </table> <p>SUBTOTAL (1) (\$ 0.00)</p> <p>2. EXTRA CLAIM FEES</p> <table border="1"> <thead> <tr> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>Total Claims</td><td>- 20** =</td><td>X =</td></tr> <tr><td>Indep. Claims</td><td>- 3** =</td><td>X =</td></tr> <tr><td colspan="3">Multiple Dependent</td></tr> </tbody> </table> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>80</td><td>202</td><td>40</td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>270</td><td>204</td><td>135</td><td>Multiple dependent claim</td></tr> <tr><td>108</td><td>80</td><td>209</td><td>40</td><td>**Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td>**Reissue claims in excess of 20 and over original patent</td></tr> </tbody> </table> <p>SUBTOTAL (2) (\$ 0.00)</p>					Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid	101	710	201	355	Utility filing fee		106	320	206	160	Design filing fee		107	490	207	245	Plant filing fee		108	710	208	355	Reissue filing fee		114	150	214	75	Provisional filing fee		Extra	Fee from below	Fee Paid	Total Claims	- 20** =	X =	Indep. Claims	- 3** =	X =	Multiple Dependent			Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	103	18	203	9	Claims in excess of 20	102	80	202	40	Independent claims in excess of 3	104	270	204	135	Multiple dependent claim	108	80	209	40	**Reissue independent claims over original patent	110	18	210	9	**Reissue claims in excess of 20 and over original patent																																																																																																																									
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** or number previously paid, if greater. For Reissues, see above

SUBMITTED BY		Complete (If applicable)			
Name (Print/Type)	Michael V. Messinger	Registration No. (Attorney/Agent)	37,575	Telephone	202-371-2600
Signature	by <i>Michael V. Messinger</i> 36013			Date	10/16/00

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SKGF Rev 10/2000

0060001.sbt17-2.wpd

Sectop

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

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WASHINGTON, D.C. 20005-3934

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KAREN R. MARKOWICZ**
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ANDREA J. KAMAGE**
NANCY J. LEITH**
TARJA H. NAUKKAPINEN**

*BAR OTHER THAN D.C.
**REGISTERED PATENT AGENTS

October 16, 2000

WRITER'S DIRECT NUMBER:
(202)371-2667
INTERNET ADDRESS:
MIKEM@SKGF.COM

Commissioner for Patents
Washington, D.C. 20231

Box Missing Parts



Re: U.S. Utility Patent Application
Appl. No. 09/527,915; Filed: March 17, 2000
For: **Method, System, and Computer Program Product For Managing
Database Servers and Services**
Inventors: Scott *et al.*
Our Ref: 1848.0060001

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated June 16, 2000, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Petition for Extension of Time under 37 C.F.R. § 1.136 (*in duplicate*);
2. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
3. Copy of the Notice to File Missing Parts;
4. Original Declaration, executed by the inventors;
5. Return postcard; and

Commissioner for Patents
October 16, 2000
Page 2

6. Our Check No. 29157 for \$520.00 to cover:
\$130.00 Surcharge for late filing of Declaration (37 C.F.R. § 1.16); and
\$390.00 For extension of time fees under 37 C.F.R. § 1.136.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.


For | Michael V. Messinger 36,013
Attorney for Applicants
Registration No. 37,575